

Docket No. 03-145

#3
Sect 1017
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Taro KATAYAMA et al.

Serial No.: 09/931,374

Group Art Unit: 2644

Filed: August 17, 2001

Examiner:

For: AUDIO SIGNAL PROCESSOR, AUDIO PLAYER AND AUDIO DISTRIBUTION
SYSTEM

**RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION**

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated September 28, 2001,
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$710.00
3. Late Filing Fee Surcharge of \$130.00
4. Additional Claims fee of \$1,218.00
5. Assignment
6. Information Disclosure Statement and PTO-1449 Form
7. Certified Priority Document

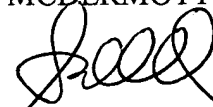
Please charge Deposit Account No. 500417 in the amount of \$2,058.00. To the extent
necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

Serial No.: 09/931,374

shortage in fees due in connection with the filing of this paper, to Deposit Account 500417 and
please credit any excess fees to such deposit account.

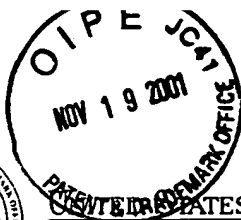
Respectfully submitted,

MCDERMOTT, WILL & EMERY



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,374	08/17/2001	Taro Katayama	50023-145

CONFIRMATION NO. 1600

20277
MCDERMOTT WILL & EMERY
600 13TH STREET, N.W.
WASHINGTON, DC 20005-3096

FORMALITIES LETTER



OC000000006813193

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1218.
 - \$18 for 1 total claims over 20.
 - \$1200 for 15 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2058.

*A copy of this notice **MUST** be returned with the reply.*

And

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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11/20/2001 R000F01

01 FC:101 740.00 CH
02 FC:105 130.00 CH
03 FC:103 18.00 CH
04 FC:102 1260.00 CH